

# Index Theory

Thm Brouwer's Fixed pt Thm Let  $D$  be a <sup>closed</sup> disk in  $\mathbb{R}^2$ .  
Let  $f: D \rightarrow D$  be cont. Then  $\exists p \in D$  s.t.  $f(p) = p$ .

Such a point is called a fixed pt. Notice our thm fails for an annulus. Just rotate by  $30^\circ$ . No fixed pts.

If  $X \subseteq \mathbb{R}^2$  is s.t. every cont. map  $f: X \rightarrow X$  must have a fixed pt, we say  $X$  has the fixed point property.

Two sets  $X, Y \subseteq \mathbb{R}^2$  are regarded as topologically equivalent or homeomorphic if there is a map  $f: X \rightarrow Y$  that is one-to-one onto cont. and has cont. inverse.

The function  $f$  is called a homeomorphism.

Thm Let  $X, Y \subseteq \mathbb{R}^2$  be homeomorphic. Then if  $X$  has a fixed pt prop. so does  $Y$ .

Pf Let  $h: X \rightarrow Y$  be a homeo. Suppose  $f: Y \rightarrow Y$  is cont.

Let  $g = h^{-1} \circ f \circ h: X \rightarrow X$ .  $g$  is cont.

Let  $p \in X$  be a fixed pt,  $g(p) = p$ .

Then

$$h^{-1}(f(h(p))) = p.$$

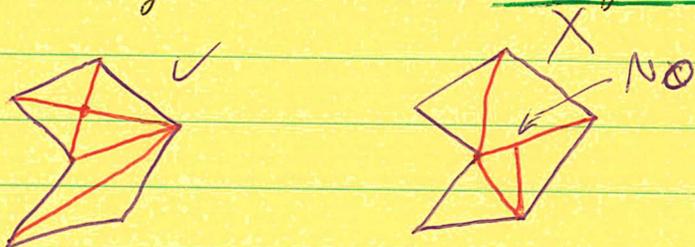
Thus,  $f(h(p)) = h(p) \in Y$ .

Thus  $h(p)$  is a fixed pt. of  $f$ . □

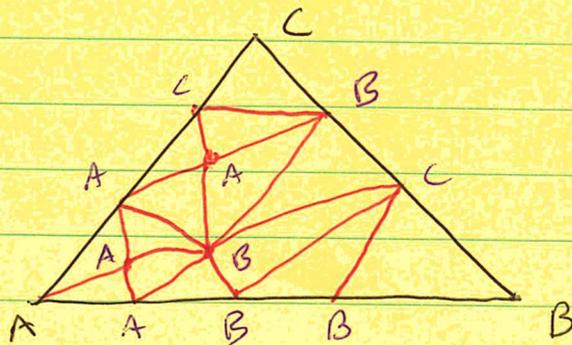
$$\begin{array}{ccc} X & \xrightarrow{g} & X \\ h \downarrow & & \uparrow h^{-1} \\ Y & \xrightarrow{f} & Y \end{array}$$

Thus, once we prove Brouwer's F.P.Th. we will actually have proved a thm about any set homeomorphic to a disk.

Def Let  $P$  be a polygon in  $\mathbb{R}^2$ . A division of  $P$  into triangles s.t. each edge of each triangle is either in the boundary of  $P$  or is an edge of (only) one other triangle is called a triangulation of  $P$ .



Def Let  $T$  be a triangle and then triangulate it further. A Sperner labeling is given by labeling each vertex with  $A, B$  or  $C$  as follows. Label the three vertices of ~~the~~ the original  $T$  with  $A, B$  and  $C$ , using each letter once. Label the vertices of the smaller triangle's along the edges of  $T$  with the same letters as the end pts. Label the interior vertices any way you want.



Thm Sperner's Lemma At least one of the (small) triangles is labeled  $A, B, C$ , in any triangulated triangle with a Sperner labeling.

Pf A triangle is called "complete" if its labels include each letter,  $A, B, C$ . Let  $x$  be the number of complete triangles. We will show  $x$  is odd. Hence  $x$  cannot be zero!

Let  $y$  be the number of triangles with labels  $A$  and  $B$ , and not  $C$ . That is  $y$  is the number of triangles labeled  $AAB$  or  $ABB$ . Such triangles have two edges labeled  $AB$ . Complete triangles have one such edge. All other triangles have no edges labeled  $AB$ . Thus, the total number of  $AB$  edge, counting triangle by triangle, is  $2y + x$ .

All possible labelings

$AAA$

$AAB$

$ABB$

$BBB$

$AAC$

$ACC$

$CCC$

$BBC$

$BCC$

Now, we counted each interior  $AB$  edge twice. Let  $z$  be the number of  $AB$  in the interior and  $w$  be the number of  $AB$  edges in the boundary. Then

$$2y + x = 2z + w.$$

I claim  $w$  is odd. This will force  $x$  to be odd.

Let's think about  $w$ . We need only consider the edge of  $T$  whose end pt were  $A$  and  $B$ . For example:



Let  $p$  be the number of  $AA$  segments,  $w$  is the number of  $AB$  (or  $BA$ ) segments as stated. If we count the number of  $A$  vertices, edge by edge, we get  $2p + w$ . Again we counted each interior  $A$  twice, let  $r$  be the number of interior  $A$  in this side of  $T$ . There is one  $A$  on the boundary. Thus

$$2p + w = 2r + 1.$$

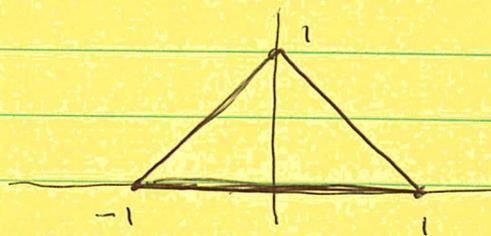
Thus  $w = 2r - 2p + 1$  is odd. Thus,  $x$  is odd.

Thus  $x$  cannot be zero!



Now we are almost ready to prove B's Fixed Pt Thm. We will need the follow fact from topology: Let  $C$  be a closed, bounded set in  $\mathbb{R}^2$ . Let  $(x_n)_{n=1}^{\infty}$  be an infinite seq. in  $C$ . Then  $\exists$  a subseq  $(x_{n_k})_{k=1}^{\infty}$  that converges to a point in  $C$ .

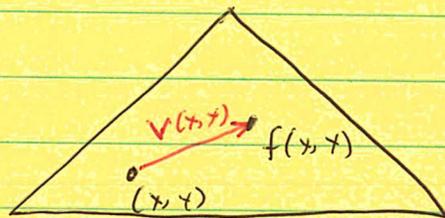
Proof of B's Fixed pt Thm. Consider the triangle below,



Let  $f: \mathbb{T} \rightarrow \mathbb{T}$  be cont. Let  $f(x,y) = (F(x,y), G(x,y))$ .  
Let  $V: \mathbb{T} \rightarrow \mathbb{R}^2$  be

$$V(x,y) = (F(x,y) - x, G(x,y) - y)$$

and regard it as a vector based at  $(x,y)$  with the head at  $(F(x,y), G(x,y))$

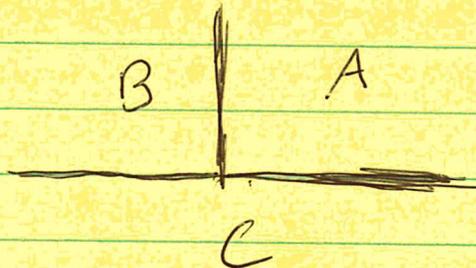


Consider a triangulation of  $T$ . We label the vertices  $A, B$  or  $C$  based on the vector at each vertex as follows. If  $p$  is a vertex let  $V(p) = (u(p), w(p))$ , but now regard it as based at the origin.

If  $u(p) \geq 0$  and  $w(p) \geq 0$  label  $p$  with  $A$ .

If  $u(p) < 0$  and  $w(p) \geq 0$  label  $p$  with  $B$ .

Otherwise use  $C$ .

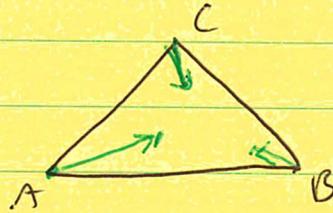


~~If  $a, p, c \in \mathbb{R}^2$~~

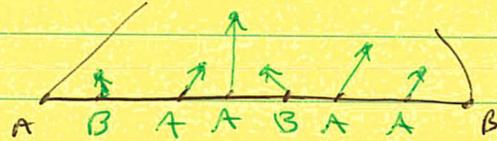
If any vertex has  $V(p) = 0$ , then it is a fixed pt and we are done. Assume this is not so.

Then I claim the labeling is a Sperner labeling.

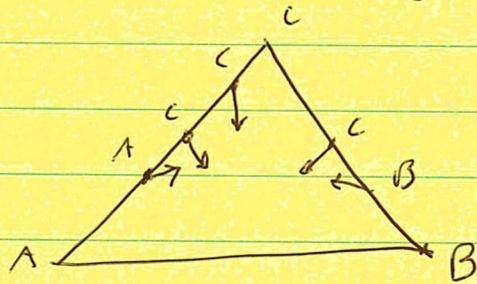
First check the 3 vertices of  $T$ . Since the map is into  $T$  the labeling is clear.  $(-1, 0)$  is  $A$ ,  $(0, 1)$  is  $B$  and  $(0, 1)$  is  $C$ .



Next look at the vertices along  $(-1, 1) \times 0$ . All must be  $A$  or  $B$ .



Likewise for vertices along  $\overline{AC}$  and  $\overline{BC}$  of  $T$ .



The interior vertices can be labeled any way. Thus, we have a Sperner labeling. Select one of the  $ABC$  triangles. Let  $a_1, b_1$ , and  $c_1$ , be the coordinates of ~~the~~ its vertices,  $a_1$  for the vertex labeled  $A$ , etc.

We can insist <sup>all</sup> the edges in a triangulation be as small as we like. Let's say the edge in our triangulation where all  $\leq 1$ . Then the points  $a_1, b_1$ , and  $c_1$  are within 1 unit of each other.

Now, select a new triangulation of  $T$  with all edges  $\leq \frac{1}{2}$ . Again, find an ABC triangle and let  $a_2, b_2$ , and  $c_2$  be the coordinates of its vertices.

Now, select a new triangulation of  $T$  with all edges  $\leq \frac{1}{3}$ . Again, find an ABC triangle and let  $a_3, b_3$  and  $c_3$  be the coordinates of its vertices.

Keep doing this. We can generate three infinite sequences  $(a_n), (b_n)$  and  $(c_n)$  all inside  $T$ , a closed bounded set in  $\mathbb{R}^2$ . ~~Thus, by the Bolzano-Weierstrass theorem, each sequence has a convergent subsequence. Call the limits  $A, B, C$ . But we can't be sure that  $A, B, C$  are distinct or even any two of them are distinct.~~

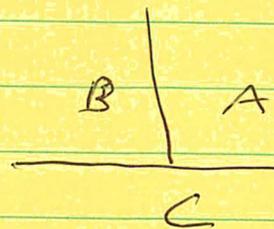
~~Thus, by the Bolzano-Weierstrass theorem, each sequence has a convergent subsequence. Call the limits  $A, B, C$ . But we can't be sure that  $A, B, C$  are distinct or even any two of them are distinct.~~ Thus,  $(a_n)$  has a convergent subseq.,  $(a_{n_k})$ . Let  $a_{n_k} \rightarrow p \in T$ . But  $(b_{n_k})$  and  $(c_{n_k})$  must also converge to  $p$  since  $\text{dist}(a_{n_k}, b_{n_k}) \leq \frac{1}{n_k} \rightarrow 0$  and  $\text{dist}(a_{n_k}, c_{n_k}) \leq \frac{1}{n_k} \rightarrow 0$ .

Let's think about what  $V(p)$  is. Since  $V$  is cont., the sequences  $\{V(a_{n_k})\}$ ,  $\{V(b_{n_k})\}$  and  $\{V(c_{n_k})\}$  must all converge to  $V(p)$ .

Notice each  $V(a_{nk})$  points into the region  $A$ , and each  $V(b_{nk})$  points into the region  $B$ , and each  $V(c_{nk})$  points into the region  $C$ .

Thus, their limits,  $V(p)$ , must be the one point in the boundary of all three regions, namely  $(0,0)$ .

Thus  $V(p) = (0,0)$ . Thus  $f(p) = p$  is fixed point!



□

Corollary (For later use) Let  $K \subset \mathbb{R}^2$  be closed and bounded. Suppose  $V$  is a continuous vector field on  $K$  that is never zero. Then  $\exists \epsilon > 0$  s.t. for any triangulation of  $K$  with edges of length  $< \epsilon$ , there are no complete triangles.