

§37

The Tychonoff Theorem

Here we will prove that the product of cpt spaces is cpt. We have done this for finite products (Thm 26.7). Recall the F.I.P. and Thm 26.9: $\text{cpt} \Leftrightarrow (\text{C.F.I.P.} \Rightarrow \text{AC} \neq \emptyset)$.

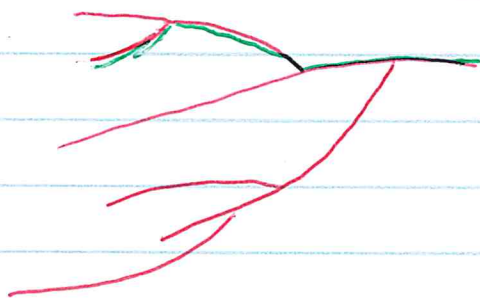
We will make use of Zorn's Lemma, which is a consequence of the Maximum Principle (§11). We review these briefly.

MP

Let X be a set with a **strict partial order**. (Proper set inclusion of a collection of sets is a typical example of a s.p.o.) Then \exists a maximal simply ordered subset. (It need not be unique.)

Ex

In the graph below $a < b$ iff there is a path from a to b that always moves left-to-right.



The **green** subset is a maximal simply ordered subset. If any other point is included it will no longer be simply ordered. (More examples are on the website.)

Z1

Let X be a set with a strict partial order.
If \mathcal{Y} is a simply ordered subset of X , \exists an upper bound in X , then X has a maximal member. (It need not be unique.)

pf

(Outline) By the MP X has a maximal simply ordered subset Y . The upper bound of Y is a maximal member of X . \square

We will be working with subsets of a set X , collections of subsets of X , and collections of collections of subsets of X . To help keep these straight we adopt the following convention.

Subsets of a given set X will be denoted by standard capital letters: A, B, C, \dots

Collections of subsets of X will be denoted by script capital letters: $\mathcal{A}, \mathcal{B}, \mathcal{C}, \dots$

Collections of collections of subsets of X will be denoted by bold capital letters: **A, B, \dots**

The next two lemmas only concern sets, not top. spaces.

Lemma (37.1) Let X be a set. Let \mathcal{A} be a collection of subsets of X having the F.I.P. Then \exists a collection \mathcal{D} of subsets of X s.t. $\mathcal{A} \subset \mathcal{D}$, \mathcal{D} has the F.I.P., and \mathcal{D} is maximal, meaning that no larger collection containing \mathcal{D} has the F.I.P.

Pf

Let \mathbf{A} be the collection of all collections of subsets of X that contain \mathcal{A} and have the F.I.P. Proper set inclusion gives a strict partial order on \mathbf{A} .

Let $\mathbf{B} \subset \mathbf{A}$ be simply ordered. We claim \mathbf{B} has an upper bound in \mathbf{A} , namely $\mathcal{C} = \bigcup_{\mathcal{B} \in \mathbf{B}} \mathcal{B}$. Clearly \mathcal{C} is an upper of \mathbf{B} ; if $\mathcal{B} \in \mathbf{B}$, then $\mathcal{B} \subset \mathcal{C}$. But we need for $\mathcal{C} \in \mathbf{A}$. It is clear that $\mathcal{A} \subset \mathcal{C}$ since $\mathcal{A} \subset \mathcal{B}$ for all $\mathcal{B} \in \mathbf{B}$. It is left to show that \mathcal{C} has the F.I.P.

Let $\{C_1, \dots, C_n\} \subset \mathcal{C}$. Each C_i is in some $\mathcal{B}_i \in \mathbf{B}$. We can write $C_i \in \mathcal{B}_i \in \mathbf{B}$, $i=1, \dots, n$. Since \mathbf{B} is simply ordered by proper set inclusion, the subcollection $\{\mathcal{B}_1, \dots, \mathcal{B}_n\} \subset \mathbf{B}$ has a largest member, say \mathcal{B}_k . Then $\{C_1, \dots, C_n\} \subset \mathcal{B}_k$ and we know \mathcal{B}_k has the F.I.P. Hence $\bigcap_{i=1}^n C_i \neq \emptyset$. Therefore $\mathcal{C} \in \mathbf{A}$.

By Zorn's Lemma \mathbf{A} has a maximal member which is the collection \mathcal{D} in the statement of this Lemma. □

Lemma (37.2) Let X be a set. Let \mathcal{D} be a collection of subsets of X that is maximal w.r.t. the F.I.P. Then the following hold

- (a) Any finite intersection of elements of \mathcal{D} is an element of \mathcal{D} .
- (b) If A is a subset of X that meets every member of \mathcal{D} , then $A \in \mathcal{D}$.

pf The proofs are easy. You can read them in the textbook.

Proof of the Tychonoff Theorem

Let $X = \prod_{\alpha \in I} X_{\alpha}$, where each X_{α} is cpt and X has the prod. top. Let \mathcal{C} be a collection of closed subsets of X having the F.I.P. We will show that

$$\bigcap_{A \in \mathcal{C}} A \neq \emptyset.$$

It follows that X is compact.

Choose $\mathcal{D} \supset \mathcal{C}$ as in Lemma 37.1. Members of \mathcal{D} need not be closed, but if we show that $\bigcap_{D \in \mathcal{D}} \bar{D} \neq \emptyset$ then $\bigcap_{A \in \mathcal{C}} A \neq \emptyset$.

$\forall \alpha \in J$ consider $\{\pi_\alpha(D) \mid D \in \mathcal{D}\}$. Each is a collection of subsets of X_α that has the F.I.P. Since X_α is compact

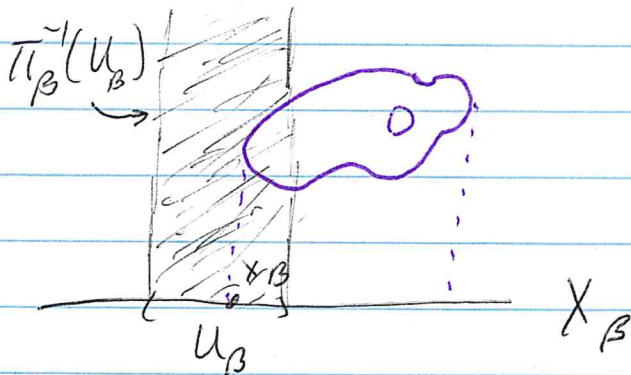
$$\bigcap_{D \in \mathcal{D}} \overline{\pi_\alpha(D)} \neq \emptyset.$$

$\forall \alpha \in J$ pick a point $x_\alpha \in \bigcap_{D \in \mathcal{D}} \overline{\pi_\alpha(D)}$. Let $\bar{x} = (x_\alpha)_{\alpha \in J}$.

We will show that $\bar{x} \in \bar{D}$, $\forall D \in \mathcal{D}$.

Recall that $\{\pi_\alpha^{-1}(U_\alpha) \mid \alpha \in J \text{ and } U_\alpha \text{ is open on } X_\alpha\}$ is a subbasis for the prod. top. on X . Let $\pi_\beta^{-1}(U_\beta)$ be a subbasis member that contains \bar{x} . Then $x_\beta \in U_\beta$. We claim $\pi_\beta^{-1}(U_\beta)$ meets every member of \mathcal{D} . Let $D \in \mathcal{D}$. Since $x_\beta \in \overline{\pi_\beta(D)}$ and U_β is open we have $U_\beta \cap \pi_\beta(D) \neq \emptyset$. Thus,

$$\pi_\beta^{-1}(U_\beta) \cap D \neq \emptyset.$$



By Lemma 37.2 (b) every subbasis member that contains \bar{x} is in \mathcal{D} .

By Lemma 37.2 (a) every ~~subbasis~~^{basis} member that contains \bar{x} is in \mathcal{D} .

Since \mathcal{D} has the F.I.P. every basis member that contains \bar{x} intersects every member of \mathcal{D} . Thus, $\bar{x} \in \bar{D}$ for every $D \in \mathcal{D}$. Thus,

$$\bar{x} \in \bigcap_{D \in \mathcal{D}} \bar{D} \subset \bigcap_{A \in \mathcal{A}} A \quad \text{and hence } \bigcap_{A \in \mathcal{A}} A \neq \emptyset.$$

