

# Sets and Functions <sup>1</sup>

## 1 Sets

A **set** is a collection of **elements**. The expression  $p \in S$  means  $p$  is an element of the set  $S$ . A set may be defined in several ways: in ordinary English, *e.g.*, let  $A$  be the set of positive even integers; by listing its elements within braces, *e.g.*, let  $A = \{2, 4, 6, 8, \dots\}$ ; or by using “set builder” notation, *e.g.*,  $A = \{n \in \mathbb{Z} \mid n > 0 \text{ and } n \text{ is even}\}$ , read:  $A$  is the set of all integers  $n$  such that  $n > 0$  and  $n$  is even ( $\mathbb{Z}$  is the standard notation for the integers).

A set does not normally have an order. Thus  $\{a, b\} = \{b, a\}$ . An **ordered set** is a set together with an ordering. When we want to stress that a set has been endowed with an ordering we will use parentheses instead of braces:  $(a, b)$  is an ordered set and is not equal to  $(b, a)$ . Also  $\{a, a\}$  is redundant and should be written as  $\{a\}$ . But  $(a, a)$  is not at all the same as  $(a)$ .

The following notations are standard:

- $\phi = \{\}$ , the empty set.
- $A \subset B$  : read  $A$  is a subset of  $B$ , meaning, every element of  $A$  is an element of  $B$ . *Example:*  $\{2, 5\} \subset \{1, 2, 3, 4, 5\}$ .
- $A \cup B$  :  $A$  union  $B$ , meaning, the set of all elements that are in  $A$  **or** in  $B$ . *Example:*  $\{\$, *, !\} \cup \{\alpha, !, *, 17\} = \{\$, *, !, \alpha, *, 17\}$ .
- $A \cap B$  : read  $A$  intersection  $B$ , meaning, the set of all elements that are in  $A$  **and** in  $B$ . *Example:*  $\{\$, *, !\} \cap \{\alpha, !, *, 17\} = \{!\}$ .
- $A - B$  : read  $A$  minus  $B$ , meaning, the set of all elements of  $A$  that are not elements of  $B$ . *Example:*  $\{\$, *, !\} - \{\alpha, !, *, 17\} = \{\$, *\}$ .
- $A \times B$  : read  $A$  cross (product)  $B$ , meaning, the set of ordered pairs  $(a, b)$  where  $a \in A$  and  $b \in B$ . Since there is a natural one-to-one correspondence between  $(A \times B) \times C$  and  $A \times (B \times C)$ ,  $((a, b), c) \longleftrightarrow (a, (b, c))$ , we shall ignore the distinction between them and use the notation  $A \times B \times C$  for the set  $\{(a, b, c) \mid a \in A, b \in B, \text{ and } c \in C\}$ . Other multiple cross products are defined similarly. *Examples:*  $\{1, 3\} \times$

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$$\{0, 1, 2\} = \{(1, 0), (1, 1), (1, 2), (3, 0), (3, 1), (3, 2)\}. \quad \{*, \#\} \times \{\%\} = \{(*, \%), (\#, \%)\}.$$

- $A^n = A \times \cdots \times A$ ,  $n$  times. *Example:*  $\{2, 3\}^3 = \{(2, 2, 2), (2, 2, 3), (2, 3, 2), (2, 3, 3), (3, 2, 2), (3, 2, 3), (3, 3, 2), (3, 3, 3)\}$ .

Some standard sets are:

- $\mathbb{Z}$  : the integers (most likely from the German *Zahl*)
- $\mathbb{Q}$  : the rationals (quotients)
- $\mathbb{R}$  : the reals
- $\mathbb{C}$  : the complex numbers

**Remark.** The sets  $\mathbb{Z}$ ,  $\mathbb{Q}$ , and  $\mathbb{R}$  are normally given an ordering. Interestingly,  $\mathbb{C}$  is not typically ordered.

Interval Notation:

$$\begin{aligned} [a, b] &= \{x \in \mathbb{R} \mid a \leq x \leq b\} & [a, \infty) &= \{x \in \mathbb{R} \mid a \leq x\} \\ (a, b) &= \{x \in \mathbb{R} \mid a < x < b\} & (a, \infty) &= \{x \in \mathbb{R} \mid a < x\} \\ (a, b] &= \{x \in \mathbb{R} \mid a < x \leq b\} & (-\infty, b] &= \{x \in \mathbb{R} \mid x \leq b\} \\ [a, b) &= \{x \in \mathbb{R} \mid a \leq x < b\} & (-\infty, b) &= \{x \in \mathbb{R} \mid x < b\} \end{aligned}$$

**Remark.** The notation “ $(a, b)$ ” is ambiguous; it could represent an interval or an ordered pair. One has to consider the context to understand the intended meaning. On behalf of mathematicians everywhere, I apologize for any inconvenience this may cause.

**Examples:**

- $\{x \in \mathbb{R} \mid x \leq -\sqrt{7}\} \cup \{x \in \mathbb{R} \mid x \geq \sqrt{7}\}$  is the solution set for  $x^2 - 7 \geq 0$ .
- $\mathbb{R} - \{0\}$  is the natural domain of  $1/x$ .
- $\mathbb{R}^2$  is the plane.  $\mathbb{R}^3$  is 3-dimensional space.  $\mathbb{R}^4$  is 4-dimensional space. And so on.
- $\phi \subset A$ ,  $\phi = A \cap \phi$ , and  $A = A \cup \phi$  are true statements for all sets  $A$ .
- $\{x \in \mathbb{R} \mid -2 \leq x < 5\} = [-2, 5) = [-2, 7] \cap (-10, 5)$ .

- $S = [0, 1] \times [0, 1]$  is the *unit square* in the plane  $\mathbb{R}^2$  with corners  $(0,0)$ ,  $(1,0)$ ,  $(0,1)$ , and  $(1,1)$ .

### Problems:

1. Describe  $[0, 1] \times [0, 2] \times [0, 3]$ .
2. Simplify  $((1, 3) \cap (2, 5)) \cup [3, 4]$ .
3. Let  $A = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 \leq 9\}$ ,  $B = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 \leq 4\}$ , and  $C = \{(x, y) \in \mathbb{R}^2 \mid y \geq 0\}$ . Graph  $A - B$ ,  $A \cap (\mathbb{R}^2 - B)$ ,  $A \cap C$ , and  $A - C$ .
4. Find the solution set in  $\mathbb{R}^2$  of  $\sin x \cos y = 0$ .
5. Draw  $\mathbb{Z} \times \mathbb{Z}$ ,  $\mathbb{Z} \times \mathbb{R}$ , and  $((0, 1] \cup \{2, 3\}) \times ([-2, -1] \cup (2, 3))$  as subsets of  $\mathbb{R}^2$ .
6. Let  $A$  be a set. What is  $A \times \phi$ ?
7. [Hard] Let  $A$ ,  $B$ , and  $C$  be sets. Prove that  $(A \cup B) \cap C = (A \cap C) \cup (B \cap C)$ . (You can draw pictures to “see” this, but you need to reason from the definitions to prove it.)

## 2 Functions

Intuitively, a function  $f$  from a set  $A$  to a set  $B$  assigns to each element of  $A$  one element of  $B$ . Formally,  $f$  is a subset of  $A \times B$  such that for every  $a \in A$  there is one and only one  $b \in B$  with  $(a, b) \in f$ . We normally write  $f : A \rightarrow B$ , and express  $(a, b) \in f$  by  $b = f(a)$ .

A function  $f : A \rightarrow B$  is **onto** if for every  $b \in B$  there is at least one  $a \in A$  such that  $(a, b) \in f$ , *i.e.*, such that  $f(a) = b$ . A function  $f : A \rightarrow B$  is **one-to-one** if for every  $b \in B$  there is at most one  $a \in A$  with  $f(a) = b$ .

Let  $f : A \rightarrow B$ ,  $A' \subset A$ , and  $B' \subset B$ . Then we define,

- $f(A') = \{b \in B \mid b = f(a) \text{ for at least one } a \in A'\}$  and is called the **image** of  $A'$  under  $f$ . We call  $f(A)$  the **range** of  $f$ .
- $f^{-1}(b) = \{a \in A \mid b = f(a)\}$ .
- $f^{-1}(B') = \{a \in A \mid a \in f^{-1}(b) \text{ for at least one } b \in B'\}$

If  $f$  is one-to-one and onto then  $f^{-1}(b)$  always consists of a single element and we regard  $f^{-1}$  as a function from  $B$  to  $A$ . In this case we say  $f$  is **invertible**.

A **binary operation** is a function from the cross product of two sets to a third set. For example, the adding of two numbers is a binary operation from  $\mathbb{R} \times \mathbb{R}$  to  $\mathbb{R}$ . So is multiplication. For any binary operation  $f : A \times B \rightarrow C$ , if  $a_1 = a_2 \in A$  and  $b \in B$  then  $f(a_1, b) = f(a_2, b)$ . For multiplication this means for real numbers  $a, b$ , and  $c$ , if  $a = b$  then  $ac = bc$ . Note that we have written  $f(a, b)$  instead of  $f((a, b))$  since this shorthand is customary.

**Example 1.** Let  $S = \{\clubsuit, \diamond, \heartsuit, \spadesuit, \square, \circ, \star\}$  and let  $L = \{\alpha, \theta, \phi, \pi, \zeta\}$ . Let  $f : S \rightarrow L$  be defined as indicated by Figure 1. But what *is*  $f$  really? It is the set of arrows. But each arrow is a pictorial representative of an ordered pair. Thus  $(\clubsuit, \alpha) \in f$  but  $(\diamond, \zeta) \notin f$ . Or, equivalently,  $f(\clubsuit) = \alpha$  while  $f(\diamond) \neq \zeta$ . This function is not one-to-one since, for example,  $f(\clubsuit) = f(\circ)$ . It is not onto since there is no  $x \in S$  such that  $f(x) = \zeta$ , that is, for every  $x \in S$ ,  $(x, \zeta) \notin f$ . Or, we could say  $\zeta$  is not in the range of  $f$ .

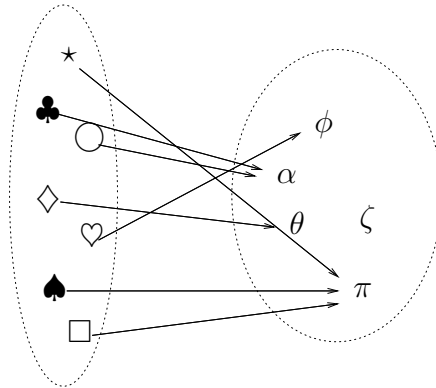


Figure 1: A function

If we order the elements of  $S$  and  $L$  then we can **graph**  $f$ . This is shown in Figure 2. We can see that the graph of  $f$  is a subset of  $S \times L$ . Notice that the familiar *horizontal line test* shows that  $f$  is not one-to-one, while the *vertical line test* confirms that  $f$  is indeed a function.

#### Additional Examples:

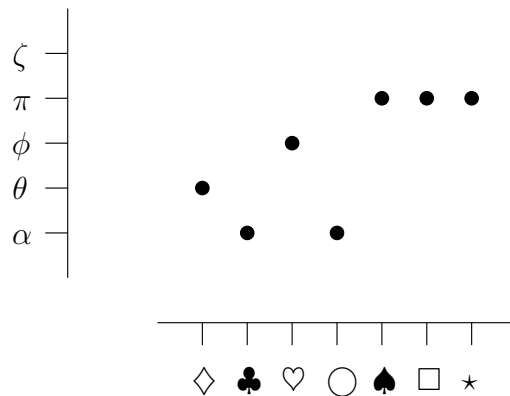


Figure 2: A graph of the function in Figure 1

1. The function  $f : \mathbb{R} \rightarrow \mathbb{R}$  defined by  $f(x) = x^2$  is the set  $\{(x, y) \in \mathbb{R}^2 \mid y = x^2\}$ . Thus, you can think of the function  $f$  as the graph in the plane  $\mathbb{R}^2$ .
2. Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be defined by  $f(x) = x^2$ . Then  $f^{-1}(4) = \{-2, 2\}$ ,  $f^{-1}([0, 1]) = [-1, 1]$ , and  $f^{-1}([1, 9]) = [-3, -1] \cup [1, 3]$ .
3. Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be defined by  $f(x) = \sin \pi x$ . Then  $f^{-1}(0) = \mathbb{Z}$ , and

$$f^{-1}([0, 1]) = \cdots \cup [-4, -3] \cup [-2, -1] \cup [0, 1] \cup [2, 3] \cup \cdots$$

4. Let  $A = \{1, 2, 3, \dots\}$ . Then the set  $\{(1, 2), (2, 3), (3, 4), \dots\} \subset A \times A$ , is the function  $f : A \rightarrow A$  produced by adding a one:  $f(n) = n + 1$ . It is one-to-one but not onto. But if we let  $B = A - \{1\}$  and let  $g : A \rightarrow B$  be addition by one, then  $g$  is onto.
5. Let  $A = \{2, 3\}$ . Let  $f = \{(2, 3), (3, 3)\}$ ,  $g = \{(2, 3), (3, 2)\}$ , and  $h = \{(2, 2), (2, 3)\}$ . Then,  $f$  is a function from  $A$  to  $A$  that is not one-to-one or onto,  $g$  is a one-to-one onto function from  $A$  to  $A$ , while  $h$  is not a function. Check that  $g^{-1}(f(3)) = 2$  and that  $f(g(f(x))) = g(f(g(x)))$  for both  $x \in A$ .

### Problems:

1. a. Solve  $\log_2 \sin x = -\frac{1}{2}$ .  
b. Let  $h(x) = \log_2 \sin x$  for  $x \in [0, \frac{\pi}{2}]$ . Find an expression for the

inverse of  $h$ .

c. Suppose  $f$  and  $g$  are invertible functions and that  $k = f \circ g$  is well defined. What is  $k^{-1}$ ?

2. Let  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$  be defined by  $f(x, y) = x^2 + y^2$ . Draw a picture of  $f^{-1}([4, 9])$ . *Recall:*  $[4, 9] \subset \mathbb{R}$  is the closed interval from 4 to 9. *Hint:* What is  $f^{-1}(4)$ ?
3. Let  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$  be defined by  $f(x, y) = \sin x \cos y$ . Find  $f^{-1}(0)$  and  $f^{-1}(1)$ . Draw pictures of them.
4. Let  $f : \mathbb{R} \rightarrow \mathbb{R}^2$  be defined by  $f(x) = (x, x^2)$ . Show that  $f$  is one-to-one but not onto.
5. Let  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be defined by  $f(x, y) = (x + y, x + y)$ . Show that  $f$  is neither one-to-one nor onto. Describe the range of  $f$ .
6. Let  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be defined by  $f(x, y) = (3x + 2y, x - y)$ . Show that  $f$  is one-to-one and onto. Find  $f^{-1}$ . What is the image of  $\{(x, y) \in \mathbb{R}^2 \mid x = y\}$ ?